

## **FORM NO. MGT - 9**

**For the Financial Year ended on March 31, 2020**

**Nurturing Nature**




**FORM NO. MGT - 9**
**EXTRACT OF ANNUAL RETURN**

**[Pursuant to section 92[3] of the Companies Act, 2013 and rule 12[1] of the Companies  
[Management and Administration] Rules, 2014]**

**Financial Year ended on March 31, 2020**

**I. REGISTRATION AND OTHER DETAILS:**

|      |                                                                             |                                                                                                                                                                                                                      |
|------|-----------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| i.   | CIN                                                                         | L51909GJ1991PLC015522                                                                                                                                                                                                |
| ii.  | Registration Date                                                           | April 25, 1991                                                                                                                                                                                                       |
| iii. | Name of the Company                                                         | Haldyn Glass Limited                                                                                                                                                                                                 |
| iv.  | Category-Sub-category of the Company                                        | Company having Share Capital                                                                                                                                                                                         |
| v.   | Address of the Registered office & contact details                          | Village Gavasad, Taluka Padra<br>District Vadodara- 391430, Gujarat<br>Tel. : +91 2662 242339 / 42<br>Fax : +91 2662 245081<br>E-mail: baroda@haldynglass.com<br>Website: www.haldynglass.com                        |
| vi.  | Whether listed company                                                      | Yes, listed at BSE Ltd.                                                                                                                                                                                              |
| vii. | Name, Address & contact details of the Registrar and Transfer Agent, if any | Universal Capital Securities Pvt Ltd.<br>21, Shakil Niwas, Mahakali Caves Road,<br>Andheri [East], Mumbai – 400 093<br>Tel No. 022 28207203 / 4 / 5<br>Fax: 022 2820 7207<br>E-mail: info@unisec.in<br>www.unisec.in |

**II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY**

All the business activities contributing 10% or more of the total turnover of the company shall be stated

| Sr. No. | Name and Description of main products / services | NIC Code of the Product/ service | % to total turnover of the company |
|---------|--------------------------------------------------|----------------------------------|------------------------------------|
| 1       | Glass Bottles                                    | 23103                            | 100%                               |

**III. PARTICULARS OF HOLDING, SUBSIDIARY & ASSOCIATE COMPANIES:**

| Sr. No. | Name and Address of the Company                                                                                                               | CIN / GLN             | Holding / Subsidiary / Associate Companies | % of equity shares held |
|---------|-----------------------------------------------------------------------------------------------------------------------------------------------|-----------------------|--------------------------------------------|-------------------------|
| 1       | Haldyn Corporation Limited<br>B-1201, Lotus Corporate Park,<br>Off Western Express Highway,<br>Goregaon [East], Mumbai – 400 063              | U99999MH1964PLC012913 | Holding Company                            | 53.64%                  |
| 2       | Haldyn Heinz Fine Glass Private Limited<br>B-1202, Lotus Corporate Park,<br>Off Western Express Highway,<br>Goregaon [East], Mumbai – 400 063 | U26960MH2015PTC261972 | Associate Company                          | 50%                     |



## Shareholding Pattern [Equity Share Capital Breakup as percentage of Total Equity]

### i] Category - wise Share Holding

| Cat-<br>egory<br>code | Category of Shareholder                                       | No. of shares held at the beginning of the year |          |                 | No. of shares held at the end of the year |          |                 | % change<br>during the<br>year |
|-----------------------|---------------------------------------------------------------|-------------------------------------------------|----------|-----------------|-------------------------------------------|----------|-----------------|--------------------------------|
|                       |                                                               | Demat                                           | Physical | Total           | Demat                                     | Physical | Total           |                                |
| <b>[A]</b>            | <b>Promoters</b>                                              |                                                 |          |                 |                                           |          |                 |                                |
| <b>1</b>              | <b>Indian</b>                                                 |                                                 |          |                 |                                           |          |                 |                                |
| [a]                   | Individuals/ Hindu Undivided Family                           | 1651640                                         | 0        | 1651640         | 1651640                                   | 0        | 1651640         | 3.07                           |
| [b]                   | Central Govt[s]                                               | 0                                               | 0        | 0               | 0                                         | 0        | 0               | 0.00                           |
| [c]                   | State Govt[s]                                                 | 0                                               | 0        | 0               | 0                                         | 0        | 0               | 0.00                           |
| [d]                   | Bodies Corporate                                              | 26621150                                        | 0        | 26621150        | 28831147                                  | 0        | 28831147        | 53.64                          |
| [e]                   | Banks / FI                                                    | 0                                               | 0        | 0               | 0                                         | 0        | 0               | 0.00                           |
| [f]                   | Any Others[Specify]                                           |                                                 |          |                 |                                           |          |                 |                                |
|                       | <b>Sub Total[A][1]</b>                                        | <b>28272790</b>                                 | <b>0</b> | <b>28272790</b> | <b>30482787</b>                           | <b>0</b> | <b>30482787</b> | <b>4.11</b>                    |
| <b>2</b>              | <b>Foreign</b>                                                |                                                 |          |                 |                                           |          |                 |                                |
| [a]                   | NRIs - Individuals                                            | 0                                               | 0        | 0               | 0                                         | 0        | 0               | 0.00                           |
| [b]                   | Other Individuals                                             | 0                                               | 0        | 0               | 0                                         | 0        | 0               | 0.00                           |
| [c]                   | Bodies Corporate                                              | 0                                               | 0        | 0               | 0                                         | 0        | 0               | 0.00                           |
| [d]                   | Banks / FI                                                    | 0                                               | 0        | 0               | 0                                         | 0        | 0               | 0.00                           |
| [e]                   | Any Others[Specify]                                           | 0                                               | 0        | 0               | 0                                         | 0        | 0               | 0.00                           |
|                       | <b>Sub Total[A][2]</b>                                        | <b>0</b>                                        | <b>0</b> | <b>0</b>        | <b>0</b>                                  | <b>0</b> | <b>0</b>        | <b>0.00</b>                    |
|                       | <b>Total Shareholding of Promoter<br/>[A] = [A][1]+[A][2]</b> | <b>28272790</b>                                 | <b>0</b> | <b>28272790</b> | <b>30482787</b>                           | <b>0</b> | <b>30482787</b> | <b>4.11</b>                    |
| <b>[B]</b>            | <b>Public shareholding</b>                                    |                                                 |          |                 |                                           |          |                 |                                |
| <b>1</b>              | <b>Institutions</b>                                           |                                                 |          |                 |                                           |          |                 |                                |
| [a]                   | Mutual Funds                                                  | 0                                               | 0        | 0               | 0                                         | 0        | 0               | 0.00                           |
| [b]                   | Banks / FI                                                    | 0                                               | 0        | 0               | 0                                         | 0        | 0               | 0.00                           |
| [c]                   | Alternate Investment Funds                                    | 1649100                                         | 0        | 1649100         | 1651500                                   | 0        | 1651500         | 3.07                           |
| [d]                   | State Govt[s]                                                 | 0                                               | 0        | 0               | 0                                         | 0        | 0               | 0.00                           |
| [e]                   | Venture Capital Funds                                         | 0                                               | 0        | 0               | 0                                         | 0        | 0               | 0.00                           |
| [f]                   | Insurance Companies                                           | 0                                               | 0        | 0               | 0                                         | 0        | 0               | 0.00                           |
| [g]                   | FIIIs                                                         | 0                                               | 0        | 0               | 0                                         | 0        | 0               | 0.00                           |



| Cat-<br>egory<br>code | Category of Shareholder                                                      | No. of shares held at the beginning of the year |                |                 |                      | No. of shares held at the end of the year |                |                 |                      | % change<br>during the<br>year |
|-----------------------|------------------------------------------------------------------------------|-------------------------------------------------|----------------|-----------------|----------------------|-------------------------------------------|----------------|-----------------|----------------------|--------------------------------|
|                       |                                                                              | Demat                                           | Physical       | Total           | % of Total<br>Shares | Demat                                     | Physical       | Total           | % of Total<br>Shares |                                |
| [h]                   | Foreign Venture Capital Funds                                                | 0                                               | 0              | 0               | 0.00                 | 0                                         | 0              | 0               | 0.00                 | 0.00                           |
| [i]                   | Any Other [specify]                                                          |                                                 |                |                 |                      |                                           |                |                 |                      |                                |
|                       | <b>Sub-Total [B][1]</b>                                                      | <b>1649100</b>                                  | <b>0</b>       | <b>1649100</b>  | <b>3.07</b>          | <b>1651500</b>                            | <b>0</b>       | <b>1651500</b>  | <b>3.07</b>          | <b>0.00</b>                    |
| <b>2</b>              | <b>Non-institutions</b>                                                      |                                                 |                |                 |                      |                                           |                |                 |                      |                                |
| <b>[a]</b>            | <b>Bodies Corporate</b>                                                      |                                                 |                |                 |                      |                                           |                |                 |                      |                                |
| [i]                   | Indian                                                                       | 3919958                                         | 83000          | 4002958         | 7.45                 | 1762279                                   | 83000          | 1845279         | 3.43                 | -4.01                          |
| [ii]                  | Overseas                                                                     | 0                                               | 0              | 0               | 0.00                 | 0                                         | 0              | 0               | 0.00                 | 0.00                           |
| <b>[b]</b>            | <b>Individuals</b>                                                           |                                                 |                |                 |                      |                                           |                |                 |                      |                                |
| [i]                   | Individual shareholders holding nominal share capital up to ₹ 1 lakh         | 12587695                                        | 791800         | 13379495        | 24.89                | 12537787                                  | 735800         | 13273587        | 24.69                | -0.20                          |
| [ii]                  | Individual shareholders holding nominal share capital in excess of ₹ 1 lakh. | 2664145                                         | 150000         | 2814145         | 5.24                 | 2714384                                   | 150000         | 2864384         | 5.33                 | 0.09                           |
| <b>[c]</b>            | <b>Others [specify]</b>                                                      |                                                 |                |                 |                      |                                           |                |                 |                      |                                |
| [i]                   | Clearing Members                                                             | 416889                                          | 0              | 416889          | 0.78                 | 418326                                    | 0              | 418326          | 0.78                 | 0.00                           |
| [ii]                  | HUF                                                                          | 1358942                                         | 0              | 1358942         | 2.53                 | 1381691                                   | 0              | 1381691         | 2.57                 | 0.04                           |
| [iii]                 | NRI / OCBs                                                                   | 1259541                                         | 40000          | 1299541         | 2.42                 | 1224904                                   | 40000          | 1264904         | 2.35                 | -0.06                          |
| [iv]                  | LLP/Partnership Firms                                                        | 24635                                           | 0              | 24635           | 0.05                 | 11891                                     | 0              | 11891           | 0.02                 | -0.02                          |
| [v]                   | IEPF A/C                                                                     | 529631                                          | 0              | 529631          | 0.99                 | 557351                                    | 0              | 557351          | 1.04                 | 0.05                           |
| [vi]                  | NBFC                                                                         | 3574                                            | 0              | 3574            | 0.01                 | 0                                         | 0              | 0               | 0.00                 | -0.01                          |
|                       | <b>Sub-Total [B][2]</b>                                                      | <b>22765010</b>                                 | <b>1064800</b> | <b>23829810</b> | <b>44.33</b>         | <b>20608613</b>                           | <b>1008800</b> | <b>21617413</b> | <b>40.22</b>         | <b>-4.12</b>                   |
| <b>[B]</b>            | <b>Total Public Shareholding [B]= [B] [1]+[B][2]</b>                         | <b>24414110</b>                                 | <b>1064800</b> | <b>25478910</b> | <b>47.40</b>         | <b>22260113</b>                           | <b>1008800</b> | <b>23268913</b> | <b>43.29</b>         | <b>-4.11</b>                   |
|                       | <b>TOTAL [A]+[B]</b>                                                         | <b>52686900</b>                                 | <b>1064800</b> | <b>53751700</b> | <b>100.00</b>        | <b>52742900</b>                           | <b>1008800</b> | <b>53751700</b> | <b>100.00</b>        | <b>0.00</b>                    |
| <b>[C]</b>            | <b>Shares held by Custodians for GDRs &amp; ADRs</b>                         | <b>0</b>                                        | <b>0</b>       | <b>0</b>        | <b>0.00</b>          | <b>0</b>                                  | <b>0</b>       | <b>0</b>        | <b>0.00</b>          | <b>0.00</b>                    |
|                       | <b>GRAND TOTAL [A]+[B]+[C]</b>                                               | <b>52686900</b>                                 | <b>1064800</b> | <b>53751700</b> | <b>100.00</b>        | <b>52742900</b>                           | <b>1008800</b> | <b>53751700</b> | <b>100.00</b>        | <b>0.00</b>                    |



**[ii] Shareholding of promoters**

| Sr. No.      | Shareholder's Name         | Shareholding at the Beginning of the year |                                  |                                                  | Shareholding at the end of the year |                                  |                                                  | % of change in shareholding during the year |
|--------------|----------------------------|-------------------------------------------|----------------------------------|--------------------------------------------------|-------------------------------------|----------------------------------|--------------------------------------------------|---------------------------------------------|
|              |                            | No. of shares                             | % of total shares of the company | % of shares pledged / encumbered to total shares | No. of shares                       | % of total shares of the company | % of shares pledged / encumbered to total shares |                                             |
| 1            | Narendra Dejoo Shetty      | 763960                                    | 1.42                             | 0.00                                             | 763960                              | 1.42                             | 0.00                                             | 0.00                                        |
| 2            | Shakuntala Narendra Shetty | 200                                       | 0.00                             | 0.00                                             | 200                                 | 0.00                             | 0.00                                             | 0.00                                        |
| 3            | Tarun Narendra Shetty      | 278600                                    | 0.52                             | 0.00                                             | 278600                              | 0.52                             | 0.00                                             | 0.00                                        |
| 4            | Vinita Rohan Ajila         | 608880                                    | 1.13                             | 0.00                                             | 608880                              | 1.13                             | 0.00                                             | 0.00                                        |
| 5            | Haldyn Corporation Limited | 26621150                                  | 49.53                            | 0.00                                             | 28831147                            | 53.64                            | 0.00                                             | 4.11                                        |
| <b>Total</b> |                            | <b>28272790</b>                           | <b>52.60</b>                     | <b>0.00</b>                                      | <b>30482787</b>                     | <b>56.71</b>                     | <b>0.00</b>                                      | <b>4.11</b>                                 |

**[iii] Change in Promoters' Shareholding [please specify, if there is no change]**

| Sr. No. | Name of the Promoter / Shareholder                                | Shareholding at the beginning of the year |                                  | Cumulative Shareholding during the year |                                  |
|---------|-------------------------------------------------------------------|-------------------------------------------|----------------------------------|-----------------------------------------|----------------------------------|
|         |                                                                   | No. of shares                             | % of total shares of the company | No. of shares                           | % of total shares of the company |
| 1       | <b>Narendra Dejoo Shetty</b><br>At the beginning of the year      | 763960                                    | 1.42                             | No change / movement during the year    |                                  |
|         | <b>At the end of the year</b>                                     | <b>763960</b>                             | <b>1.42</b>                      |                                         |                                  |
| 2       | <b>Shakuntala Narendra Shetty</b><br>At the beginning of the year | 200                                       | Insignificant                    |                                         |                                  |
|         | <b>At the end of the year</b>                                     | <b>200</b>                                | Insignificant                    |                                         |                                  |
| 3       | <b>Tarun Narendra Shetty</b><br>At the beginning of the year      | 278600                                    | 0.52                             |                                         |                                  |
|         | <b>At the end of the year</b>                                     | <b>278600</b>                             | <b>0.52</b>                      |                                         |                                  |
| 4       | <b>Vinita Rohan Ajila</b><br>At the beginning of the year         | 608880                                    | 1.13                             |                                         |                                  |
|         | <b>At the end of the year</b>                                     | <b>608880</b>                             | <b>1.13</b>                      |                                         |                                  |
| 5       | <b>Haldyn Corporation Limited</b><br>At the beginning of the year | 26621150                                  | 49.53                            |                                         |                                  |
|         | 23/08/2019   Transfer                                             | 25395                                     | 0.05                             | 26646545                                | 49.57                            |
|         | 30/08/2019   Transfer                                             | 17942                                     | 0.03                             | 26664487                                | 49.61                            |
|         | 30/09/2019   Transfer                                             | 2166660                                   | 4.03                             | 28831147                                | 53.64                            |
|         | <b>At the end of the year</b>                                     | <b>28831147</b>                           | <b>53.64</b>                     |                                         |                                  |



**[iv] Shareholding pattern of top ten Shareholders [other than Directors, Promoters and Holders of GDRs and ADRs]**

| Sr. No. | PAN             | Name of the shareholder                       |              | Shareholding at the beginning of the year |                                  | Cumulative Shareholding during the year |                                  |
|---------|-----------------|-----------------------------------------------|--------------|-------------------------------------------|----------------------------------|-----------------------------------------|----------------------------------|
|         |                 | Date                                          | Reason       | No. of shares                             | % of total shares of the company | No. of shares                           | % of total shares of the company |
| 1       | AAACT2811M      | Tarvin Trading And Investment Pvt. Ltd.       |              |                                           |                                  |                                         |                                  |
|         |                 | 01/04/2019                                    | Opening Bal. | 1922160                                   | 3.58                             |                                         |                                  |
|         |                 | 30/09/2019                                    | Transfer     | -1922160                                  | -3.58                            | 0                                       | 0.00                             |
|         |                 | 31/03/2020                                    | Closing Bal. |                                           |                                  | 0                                       | 0.00                             |
| 2       | AAATU7719C      | UNIFI AIF 2                                   |              |                                           |                                  |                                         |                                  |
|         |                 | 01/04/2019                                    | Opening Bal. | 1649100                                   | 3.07                             |                                         |                                  |
|         |                 | 05/04/2019                                    | Transfer     | 2400                                      | 0.00                             | 1651500                                 | 3.07                             |
|         |                 | 31/03/2020                                    | Closing Bal. |                                           |                                  | 1651500                                 | 3.07                             |
| 3       | AACCE0677N      | EDELWEISS CUSTODIAL SERVICES LIMITED          |              |                                           |                                  |                                         |                                  |
|         |                 | 01/04/2019                                    | Opening Bal. | 910582                                    | 1.69                             |                                         |                                  |
|         |                 | 12/04/2019                                    | Transfer     | 25                                        | 0.00                             | 910607                                  | 1.69                             |
|         |                 | 17/05/2019                                    | Transfer     | -25                                       | 0.00                             | 910582                                  | 1.69                             |
|         |                 | 12/07/2019                                    | Transfer     | -909582                                   | -1.69                            | 1000                                    | 0.00                             |
|         |                 | 04/10/2019                                    | Transfer     | 1640                                      | 0.00                             | 2640                                    | 0.00                             |
|         |                 | 11/10/2019                                    | Transfer     | -1800                                     | 0.00                             | 840                                     | 0.00                             |
|         |                 | 18/10/2019                                    | Transfer     | -775                                      | 0.00                             | 65                                      | 0.00                             |
|         |                 | 29/11/2019                                    | Transfer     | 53935                                     | 0.10                             | 54000                                   | 0.10                             |
|         |                 | 03/01/2020                                    | Transfer     | 500000                                    | 0.93                             | 554000                                  | 1.03                             |
|         |                 | 31/03/2020                                    | Closing Bal. |                                           |                                  | 554000                                  | 1.03                             |
| 4       | AAAHU2779N      | Umesh Chimanlal Shah HUF                      |              |                                           |                                  |                                         |                                  |
|         |                 | 01/04/2019                                    | Opening Bal. | 851419                                    | 1.58                             |                                         |                                  |
|         |                 | 31/03/2020                                    | Closing Bal. |                                           |                                  | 851419                                  | 1.58                             |
| 5       | AASPP1412F      | Usha Netar Parkash                            |              |                                           |                                  |                                         |                                  |
|         |                 | 01/04/2019                                    | Opening Bal. | 661454                                    | 1.23                             |                                         |                                  |
|         |                 | 30/09/2019                                    | Transfer     | -103900                                   | -0.19                            | 557554                                  | 1.04                             |
|         |                 | 18/10/2019                                    | Transfer     | 3310                                      | 0.01                             | 560864                                  | 1.04                             |
|         |                 | 25/10/2019                                    | Transfer     | 850                                       | 0.00                             | 561714                                  | 1.05                             |
|         |                 | 08/11/2019                                    | Transfer     | 545                                       | 0.00                             | 562259                                  | 1.05                             |
|         |                 | 15/11/2019                                    | Transfer     | 100                                       | 0.00                             | 562359                                  | 1.05                             |
|         |                 | 31/03/2020                                    | Transfer     | 711                                       | 0.00                             | 563070                                  | 1.05                             |
|         |                 | 31/03/2020                                    | Closing Bal. |                                           |                                  | 563070                                  | 1.05                             |
| 6       | Exempt Category | Investor Education and Protection Fund [IEPF] |              |                                           |                                  |                                         |                                  |
|         |                 | 01/04/2019                                    | Opening Bal. | 529631                                    | 0.99                             |                                         |                                  |
|         |                 | 03/05/2019                                    | Transfer     | -2000                                     | 0.00                             | 527631                                  | 0.98                             |
|         |                 | 24/05/2019                                    | Transfer     | -1000                                     | 0.00                             | 526631                                  | 0.98                             |
|         |                 | 05/07/2019                                    | Transfer     | -1000                                     | 0.00                             | 525631                                  | 0.98                             |
|         |                 | 29/11/2019                                    | Transfer     | 31720                                     | 0.06                             | 557351                                  | 1.04                             |
|         |                 | 31/03/2020                                    | Closing Bal. |                                           |                                  | 557351                                  | 1.04                             |



| Sr. No. | PAN        | Name of the shareholder              |              | Shareholding at the beginning of the year |                                  | Cumulative Shareholding during the year |                                  |
|---------|------------|--------------------------------------|--------------|-------------------------------------------|----------------------------------|-----------------------------------------|----------------------------------|
|         |            | Date                                 | Reason       | No. of shares                             | % of total shares of the company | No. of shares                           | % of total shares of the company |
| 7       | AASPP1411G | <b>Nitin Netar Parkash</b>           |              |                                           |                                  |                                         |                                  |
|         |            | 01/04/2019                           | Opening Bal. | 526000                                    | 0.98                             |                                         |                                  |
|         |            | 31/03/2020                           | Closing Bal. |                                           |                                  | 526000                                  | 0.98                             |
| 8       | AASPP3534G | <b>Jayant Purushottam Pendsey</b>    |              |                                           |                                  |                                         |                                  |
|         |            | 01/04/2019                           | Opening Bal. | 381300                                    | 0.71                             |                                         |                                  |
|         |            | 24/05/2019                           | Transfer     | 4000                                      | 0.01                             | 385300                                  | 0.72                             |
|         |            | 21/06/2019                           | Transfer     | 1177                                      | 0.00                             | 386477                                  | 0.72                             |
|         |            | 29/06/2019                           | Transfer     | 69                                        | 0.00                             | 386546                                  | 0.72                             |
|         |            | 12/07/2019                           | Transfer     | 368                                       | 0.00                             | 386914                                  | 0.72                             |
|         |            | 19/07/2019                           | Transfer     | 2549                                      | 0.00                             | 389463                                  | 0.72                             |
|         |            | 26/07/2019                           | Transfer     | 500                                       | 0.00                             | 389963                                  | 0.73                             |
|         |            | 13/09/2019                           | Transfer     | -6663                                     | -0.01                            | 383300                                  | 0.71                             |
|         |            | 30/09/2019                           | Transfer     | 7975                                      | 0.01                             | 391275                                  | 0.73                             |
|         |            | 11/10/2019                           | Transfer     | 7411                                      | 0.01                             | 398686                                  | 0.74                             |
|         |            | 18/10/2019                           | Transfer     | 5293                                      | 0.01                             | 403979                                  | 0.75                             |
|         |            | 25/10/2019                           | Transfer     | 1814                                      | 0.00                             | 405793                                  | 0.75                             |
|         |            | 08/11/2019                           | Transfer     | -3814                                     | -0.01                            | 401979                                  | 0.75                             |
|         |            | 15/11/2019                           | Transfer     | -3000                                     | -0.01                            | 398979                                  | 0.74                             |
|         |            | 22/11/2019                           | Transfer     | 2321                                      | 0.00                             | 401300                                  | 0.75                             |
|         |            | 31/01/2020                           | Transfer     | 6000                                      | 0.01                             | 407300                                  | 0.76                             |
|         |            | 07/02/2020                           | Transfer     | 2310                                      | 0.00                             | 409610                                  | 0.76                             |
|         |            | 13/03/2020                           | Transfer     | 4000                                      | 0.01                             | 413610                                  | 0.77                             |
|         |            | 20/03/2020                           | Transfer     | 10892                                     | 0.02                             | 424502                                  | 0.79                             |
|         |            | 31/03/2020                           | Transfer     | 12421                                     | 0.02                             | 436923                                  | 0.81                             |
|         |            | 31/03/2020                           | Closing Bal. |                                           |                                  | 436923                                  | 0.81                             |
| 9       | AAAPA1737C | <b>Dr. Sanjeev Arora</b>             |              |                                           |                                  |                                         |                                  |
|         |            | 01/04/2019                           | Opening Bal. | 350897                                    | 0.65                             |                                         |                                  |
|         |            | 23/08/2019                           | Transfer     | 2000                                      | 0.00                             | 352897                                  | 0.66                             |
|         |            | 30/08/2019                           | Transfer     | 3345                                      | 0.01                             | 356242                                  | 0.66                             |
|         |            | 30/09/2019                           | Transfer     | 17974                                     | 0.03                             | 374216                                  | 0.70                             |
|         |            | 31/03/2020                           | Closing Bal. |                                           |                                  | 374216                                  | 0.70                             |
| 10      | AAACJ5977A | <b>JM Financial Services Limited</b> |              |                                           |                                  |                                         |                                  |
|         |            | 01/04/2019                           | Opening Bal. | 266769                                    | 0.50                             |                                         |                                  |
|         |            | 12/04/2019                           | Transfer     | -500                                      | 0.00                             | 266269                                  | 0.50                             |
|         |            | 03/05/2019                           | Transfer     | 25                                        | 0.00                             | 266294                                  | 0.50                             |
|         |            | 10/05/2019                           | Transfer     | -25                                       | 0.00                             | 266269                                  | 0.50                             |
|         |            | 12/07/2019                           | Transfer     | 250                                       | 0.00                             | 266519                                  | 0.50                             |



| Sr. No. | PAN        | Name of the shareholder                 |                     | Shareholding at the beginning of the year |                                  | Cumulative Shareholding during the year |                                  |
|---------|------------|-----------------------------------------|---------------------|-------------------------------------------|----------------------------------|-----------------------------------------|----------------------------------|
|         |            | Date                                    | Reason              | No. of shares                             | % of total shares of the company | No. of shares                           | % of total shares of the company |
|         |            | 19/07/2019                              | Transfer            | -250                                      | 0.00                             | 266269                                  | 0.50                             |
|         |            | 02/08/2019                              | Transfer            | 1200                                      | 0.00                             | 267469                                  | 0.50                             |
|         |            | 09/08/2019                              | Transfer            | 103200                                    | 0.19                             | 370669                                  | 0.69                             |
|         |            | 16/08/2019                              | Transfer            | -500                                      | 0.00                             | 370169                                  | 0.69                             |
|         |            | 30/08/2019                              | Transfer            | 210                                       | 0.00                             | 370379                                  | 0.69                             |
|         |            | 06/09/2019                              | Transfer            | 2400                                      | 0.00                             | 372779                                  | 0.69                             |
|         |            | 20/09/2019                              | Transfer            | 700                                       | 0.00                             | 373479                                  | 0.69                             |
|         |            | 30/09/2019                              | Transfer            | -3310                                     | -0.01                            | 370169                                  | 0.69                             |
|         |            | 04/10/2019                              | Transfer            | 850                                       | 0.00                             | 371019                                  | 0.69                             |
|         |            | 18/10/2019                              | Transfer            | -305                                      | 0.00                             | 370714                                  | 0.69                             |
|         |            | 25/10/2019                              | Transfer            | -545                                      | 0.00                             | 370169                                  | 0.69                             |
|         |            | 01/11/2019                              | Transfer            | 811                                       | 0.00                             | 370980                                  | 0.69                             |
|         |            | 08/11/2019                              | Transfer            | -100                                      | 0.00                             | 370880                                  | 0.69                             |
|         |            | 15/11/2019                              | Transfer            | -711                                      | 0.00                             | 370169                                  | 0.69                             |
|         |            | 03/01/2020                              | Transfer            | -100000                                   | -0.19                            | 270169                                  | 0.50                             |
|         |            | 17/01/2020                              | Transfer            | 46000                                     | 0.09                             | 316169                                  | 0.59                             |
|         |            | 24/01/2020                              | Transfer            | 21000                                     | 0.04                             | 337169                                  | 0.63                             |
|         |            | 31/01/2020                              | Transfer            | 33000                                     | 0.06                             | 370169                                  | 0.69                             |
|         |            | 20/03/2020                              | Transfer            | 8616                                      | 0.02                             | 378785                                  | 0.70                             |
|         |            | 31/03/2020                              | Transfer            | -8616                                     | -0.02                            | 370169                                  | 0.69                             |
|         |            | <b>31/03/2020</b>                       | <b>Closing Bal.</b> |                                           |                                  | <b>370169</b>                           | <b>0.69</b>                      |
| 11      | AADPJ8723G | <b>Jayakumar C</b>                      |                     |                                           |                                  |                                         |                                  |
|         |            | <b>01/04/2019</b>                       | <b>Opening Bal.</b> | <b>265437</b>                             | <b>0.49</b>                      |                                         |                                  |
|         |            | <b>31/03/2020</b>                       | <b>Closing Bal.</b> |                                           |                                  | <b>265437</b>                           | <b>0.49</b>                      |
| 12      | AADCM6730B | <b>Marfatia Stock Broking Pvt. Ltd.</b> |                     |                                           |                                  |                                         |                                  |
|         |            | <b>01/04/2019</b>                       | <b>Opening Bal.</b> | <b>0</b>                                  | <b>0.00</b>                      |                                         |                                  |
|         |            | 05/04/2019                              | Transfer            | 25                                        | 0.00                             | 25                                      | 0.00                             |
|         |            | 31/05/2019                              | Transfer            | 1475                                      | 0.00                             | 1500                                    | 0.00                             |
|         |            | 07/06/2019                              | Transfer            | 1000                                      | 0.00                             | 2500                                    | 0.00                             |
|         |            | 21/06/2019                              | Transfer            | -2400                                     | 0.00                             | 100                                     | 0.00                             |
|         |            | 05/07/2019                              | Transfer            | -28                                       | 0.00                             | 72                                      | 0.00                             |
|         |            | 12/07/2019                              | Transfer            | 641500                                    | 1.19                             | 641572                                  | 1.19                             |
|         |            | 19/07/2019                              | Transfer            | 167928                                    | 0.31                             | 809500                                  | 1.51                             |
|         |            | 02/08/2019                              | Transfer            | 50                                        | 0.00                             | 809550                                  | 1.51                             |
|         |            | 09/08/2019                              | Transfer            | -50                                       | 0.00                             | 809500                                  | 1.51                             |
|         |            | 16/08/2019                              | Transfer            | 122982                                    | 0.23                             | 932482                                  | 1.73                             |
|         |            | 23/08/2019                              | Transfer            | 1000                                      | 0.00                             | 933482                                  | 1.74                             |



| Sr. No. | PAN        | Name of the shareholder |              | Shareholding at the beginning of the year |                                  | Cumulative Shareholding during the year |                                  |
|---------|------------|-------------------------|--------------|-------------------------------------------|----------------------------------|-----------------------------------------|----------------------------------|
|         |            | Date                    | Reason       | No. of shares                             | % of total shares of the company | No. of shares                           | % of total shares of the company |
|         |            | 30/08/2019              | Transfer     | -24000                                    | -0.04                            | 909482                                  | 1.69                             |
|         |            | 13/09/2019              | Transfer     | 2300                                      | 0.00                             | 911782                                  | 1.70                             |
|         |            | 20/09/2019              | Transfer     | 600                                       | 0.00                             | 912382                                  | 1.70                             |
|         |            | 30/09/2019              | Transfer     | -600                                      | 0.00                             | 911782                                  | 1.70                             |
|         |            | 04/10/2019              | Transfer     | 3585                                      | 0.01                             | 915367                                  | 1.70                             |
|         |            | 11/10/2019              | Transfer     | -5885                                     | -0.01                            | 909482                                  | 1.69                             |
|         |            | 18/10/2019              | Transfer     | 1000                                      | 0.00                             | 910482                                  | 1.69                             |
|         |            | 08/11/2019              | Transfer     | 2108                                      | 0.00                             | 912590                                  | 1.70                             |
|         |            | 15/11/2019              | Transfer     | 12891                                     | 0.02                             | 925481                                  | 1.72                             |
|         |            | 22/11/2019              | Transfer     | 5649                                      | 0.01                             | 931130                                  | 1.73                             |
|         |            | 29/11/2019              | Transfer     | -54000                                    | -0.10                            | 877130                                  | 1.63                             |
|         |            | 06/12/2019              | Transfer     | 100                                       | 0.00                             | 877230                                  | 1.63                             |
|         |            | 13/12/2019              | Transfer     | -100                                      | 0.00                             | 877130                                  | 1.63                             |
|         |            | 27/12/2019              | Transfer     | 300                                       | 0.00                             | 877430                                  | 1.63                             |
|         |            | 31/12/2019              | Transfer     | -300                                      | 0.00                             | 877130                                  | 1.63                             |
|         |            | 03/01/2020              | Transfer     | -500000                                   | -0.93                            | 377130                                  | 0.70                             |
|         |            | 21/02/2020              | Transfer     | 360                                       | 0.00                             | 377490                                  | 0.70                             |
|         |            | 28/02/2020              | Transfer     | 5000                                      | 0.01                             | 382490                                  | 0.71                             |
|         |            | 06/03/2020              | Transfer     | -333                                      | 0.00                             | 382157                                  | 0.71                             |
|         |            | 13/03/2020              | Transfer     | 440                                       | 0.00                             | 382597                                  | 0.71                             |
|         |            | 20/03/2020              | Transfer     | 500                                       | 0.00                             | 383097                                  | 0.71                             |
|         |            | 31/03/2020              | Transfer     | 2000                                      | 0.00                             | 385097                                  | 0.72                             |
|         |            | 31/03/2020              | Closing Bal. |                                           |                                  | 385097                                  | 0.72                             |
| 13      | AAYPT0031C | Nitin Tandon            |              |                                           |                                  |                                         |                                  |
|         |            | 01/04/2019              | Opening Bal. | 184000                                    | 0.34                             |                                         |                                  |
|         |            | 05/04/2019              | Transfer     | 17000                                     | 0.03                             | 201000                                  | 0.37                             |
|         |            | 20/09/2019              | Transfer     | 22000                                     | 0.04                             | 223000                                  | 0.41                             |
|         |            | 04/10/2019              | Transfer     | 24000                                     | 0.04                             | 247000                                  | 0.46                             |
|         |            | 25/10/2019              | Transfer     | 16000                                     | 0.03                             | 263000                                  | 0.49                             |
|         |            | 29/11/2019              | Transfer     | 14000                                     | 0.03                             | 277000                                  | 0.52                             |
|         |            | 31/03/2020              | Closing Bal. |                                           |                                  | 277000                                  | 0.52                             |



## [v] Shareholding of Directors and Key Managerial Personnel

| Sr. No. | Name of the Directors / KMP               |          | Shareholding at the beginning of the year |                                  | Cumulative Shareholding during the year |                                  |
|---------|-------------------------------------------|----------|-------------------------------------------|----------------------------------|-----------------------------------------|----------------------------------|
|         |                                           |          | No. of shares                             | % of total shares of the company | No. of shares                           | % of total shares of the company |
| 1       | Narendra Dejoo Shetty                     |          | 763960                                    | 1.42                             | 763960                                  | 1.42                             |
| 2       | Tarun Narendra Shetty                     |          | 278600                                    | 0.52                             | 278600                                  | 0.52                             |
| 3       | Ganesh Prasad Chaturvedi                  |          | 2500                                      | 0.00                             |                                         |                                  |
|         | 05/04/2019                                | Transfer | -2000                                     | 0.00                             | 500                                     | 0.00                             |
|         | 20/03/2020                                | Transfer | 2700                                      | 0.01                             | 3200                                    | 0.01                             |
| 4       | Anwar Abdulla Lambay [upto Nov. 30, 2019] |          | 510                                       | 0.00                             | 510                                     | 0.00                             |

## [vi] Indebtedness

Indebtedness of the Company including interest outstanding/accrued but not due for payment

[ ₹ In Lakhs ]

| Sr. No.   | Particulars                                                | Secured Loans excluding deposits | Unsecured Loans | Deposits | Total Indebtedness |
|-----------|------------------------------------------------------------|----------------------------------|-----------------|----------|--------------------|
| <b>a]</b> | <b>Indebtedness at the beginning of the financial year</b> |                                  |                 |          |                    |
| i]        | Principal Amount                                           | 752.73                           | —               | —        | 752.73             |
| ii]       | Interest due but not paid                                  | -                                | —               | —        | -                  |
| iii]      | Interest accrued but not due                               | -                                | —               | —        | -                  |
|           | <b>Total [i+ii+iii]</b>                                    | <b>752.73</b>                    | <b>—</b>        | <b>—</b> | <b>752.73</b>      |
| <b>b]</b> | <b>Change in Indebtedness during the financial year</b>    |                                  |                 |          |                    |
|           | Addition                                                   | -                                | —               | —        | -                  |
|           | Reduction                                                  | 601.35                           | —               | —        | 601.35             |
|           | <b>Net Change</b>                                          | <b>601.35</b>                    | <b>—</b>        | <b>—</b> | <b>601.35</b>      |
| <b>c]</b> | <b>Indebtedness at the end of the financial year</b>       |                                  |                 |          |                    |
| i]        | Principal Amount                                           | 151.38                           | —               | —        | 151.38             |
| ii]       | Interest due but not paid                                  | -                                | —               | —        | -                  |
| iii]      | Interest accrued but not due                               | -                                | —               | —        | -                  |
|           | <b>Total [i+ii+iii]</b>                                    | <b>151.38</b>                    | <b>—</b>        | <b>—</b> | <b>151.38</b>      |



**[vii] Remuneration of Directors and Key Managerial Personnel**

**A. Remuneration to Managing Director, Whole-time Director and /or Manager**

[ ₹ In Lakhs ]

| Sr. No. | Particulars of Remuneration                                                         | Mr. T. N. Shetty<br>Managing Director | Mr. N. D. Shetty<br>Executive<br>Chairman | Mr. Brijbhushan<br>Gupta<br>Whole-time Director<br>[w.e.f. Feb. 13, 2020] | Total         |
|---------|-------------------------------------------------------------------------------------|---------------------------------------|-------------------------------------------|---------------------------------------------------------------------------|---------------|
| 1       | Gross Salary                                                                        |                                       |                                           |                                                                           |               |
|         | [a] Salary as per provisions contained in Section 17[1] of the Income tax Act, 1961 | 180.00                                | 180.00                                    | 7.68                                                                      | 367.68        |
|         | [b] Value of perquisites under Section 17[2] Income Tax Act, 1961                   | 3.29                                  | 3.32                                      | —                                                                         | 6.61          |
|         | [c] Profits in lieu of salary under section 17[3] Income Tax Act, 1961              | —                                     | —                                         | —                                                                         | —             |
| 2       | Stock Options                                                                       | —                                     | —                                         | —                                                                         | —             |
| 3       | Sweat Equity                                                                        | —                                     | —                                         | —                                                                         | —             |
| 4       | Commission                                                                          | —                                     | —                                         | —                                                                         | —             |
|         | - as % of profit                                                                    | —                                     | —                                         | —                                                                         | —             |
|         | - others, specify                                                                   | —                                     | —                                         | —                                                                         | —             |
| 5       | Others, please specify                                                              |                                       |                                           |                                                                           |               |
|         | i. Deferred bonus [pertaining to the current financial year                         | —                                     | —                                         | —                                                                         | —             |
|         | ii. Retirals                                                                        | —                                     | —                                         | —                                                                         | —             |
|         | <b>Total [A]</b>                                                                    | <b>183.29</b>                         | <b>183.32</b>                             | <b>7.68</b>                                                               | <b>374.29</b> |

**B. Remuneration to other Directors**

**1. Independent Directors**

[ ₹ In Lakhs ]

| Sr. No. | Particulars of Remuneration                 | Name of Director  |                    |                   |                     |               |                    |
|---------|---------------------------------------------|-------------------|--------------------|-------------------|---------------------|---------------|--------------------|
|         |                                             | Mr. F. S. Broacha | Mr. L. Rajagopalan | Mrs. K. J. Udeshi | Mr. Sikandar Talwar | Mr. Ajit Shah | Mr. G. Padmanabhan |
| 1       | Fee for attending Board/ Committee Meetings | 0.15              | 1.15               | 2.95              | 1.25                | 1.00          | 1.25               |
| 2       | Commission                                  | —                 | —                  | —                 | —                   | —             | —                  |
| 3       | - Others, please specify                    | —                 | —                  | —                 | —                   | —             | —                  |
|         | <b>Total [B] [1]</b>                        | <b>0.15</b>       | <b>1.15</b>        | <b>2.95</b>       | <b>1.25</b>         | <b>1.00</b>   | <b>1.25</b>        |

**2. Other Non-Executive Directors**

[ ₹ In Lakhs ]

| Sr. No. | Particulars of Remuneration                | Mr. Rohan Ajila |
|---------|--------------------------------------------|-----------------|
| 1       | Fee for attending Board/Committee Meetings | 1.30            |
| 2       | Commission                                 | —               |
| 3       | Others, please specify                     | —               |
|         | <b>Total [B][2]</b>                        | <b>1.30</b>     |


**C. Remuneration to Key Managerial Personnel other than MD/MANAGR/WT**

[ ₹ In Lakhs ]

| Sr. No. | Particulars of Remuneration                                                         | Mr. G. P. Chaturvedi<br>[CFO] | Mr. A. A. Lambay<br>[CS]<br>[upto Nov. 30, 2019] | Mr. Dhruv Mehta<br>[CS]<br>[w.e.f. Dec. 01, 2019] | Total amount |
|---------|-------------------------------------------------------------------------------------|-------------------------------|--------------------------------------------------|---------------------------------------------------|--------------|
| 1       | Gross Salary                                                                        |                               |                                                  |                                                   |              |
|         | [a] Salary as per provisions contained in Section 17[1] of the Income tax Act, 1961 | 46.08                         | 6.26                                             | 2.82                                              | 55.16        |
|         | [b] Value of perquisite s under Section 17[2] Income Tax Act, 1961                  | —                             | —                                                | —                                                 | —            |
|         | [c] Profits in lieu of salary under section 17[3] Income Tax Act, 1961              | —                             | —                                                | —                                                 | —            |
| 2       | Stock Options                                                                       | —                             | —                                                | —                                                 | —            |
| 3       | Sweat Equity                                                                        | —                             | —                                                | —                                                 | —            |
| 4       | Commission - as % of profit                                                         | —                             | —                                                | —                                                 | —            |
| 5       | Others, please specify                                                              | —                             | —                                                | —                                                 | —            |
|         | <b>Total [C]</b>                                                                    | <b>46.08</b>                  | <b>6.26</b>                                      | <b>2.82</b>                                       | <b>55.16</b> |

**[viii] Penalties / Punishment / Compounding of offences :**
**COMPANY/ DIRECTORS/ OTHER OFFICERS IN DEFAULT**

There were no Penalties/Punishment/Compounding of Offences for breach of any section of Companies Act, 2013 against the Company or its Directors or other officers in default, during the year ended March 31, 2020.



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