



HALDYN GLASS LIMITED

CIN : L51909GJ1991PLC015522

FORM NO. MGT - 9

For the Financial Year ended on March 31, 2019

FORM NO. MGT - 9
EXTRACT OF ANNUAL RETURN

**[Pursuant to section 92[3] of the Companies Act, 2013 and rule 12[1] of the Companies
[Management and Administration] Rules, 2014]**

Financial Year ended on March 31, 2019

I. REGISTRATION AND OTHER DETAILS:

i.	CIN	L51909GJ1991PLC015522
ii.	Registration Date	April 25, 1991
iii.	Name of the Company	Haldyn Glass Limited
iv.	Category-Sub-category of the Company	Company having Share Capital
v.	Address of the Registered office & contact details	Village Gavasad, Taluka Padra District Vadodara- 391430, Gujarat Tel. : +91 2662 242339 / 42 Fax : +91 2662 245081 Email: baroda@haldynglass.com Website: www.haldynglass.com
vi.	Whether listed company	Yes, listed at BSE Ltd.
vii.	Name, Address & contact details of the Registrar and Transfer Agent, if any	Universal Capital Securities Pvt Ltd. 21, Shakil Niwas, Mahakali Caves Road, Andheri [East], Mumbai – 400 093 Tel No. 022 28207203 / 4 / 5 Fax: 022 2820 7207 E-mail: info@uniseq.in www.uniseq.in

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10% or more of the total turnover of the company shall be stated

Sl. No.	Name and Description of main products / services	NIC Code of the Product/ service	% to total turnover of the company
1	Glass Bottles	23103	100%

III. PARTICULARS OF HOLDING, SUBSIDIARY & ASSOCIATE COMPANIES:

Sr. No.	Name and Address of the Company	CIN / GLN	% of equity shares held
1	Haldyn Heinz Fine Glass Private Limited B-1202, Lotus Corporate Park, Off Western Express Highway, Goregaon [East], Mumbai – 400 063	U26960MH2015PTC261972	50%



Shareholding Pattern [Equity Share Capital Breakup as percentage of Total Equity]

i] Category - wise Share Holding

Category of Shareholder	No. of shares held at the beginning of the year			No. of shares held at the end of the year			% change during the year
	Demat	Physical	Total	Demat	Physical	Total	
[A] Promoters							
1 Indian							
[a] Individuals/ Hindu Undivided Family	1651640	0	1651640	1651640	0	1651640	3.07 0.00
[b] Central Govt[s]	0	0	0	0	0	0	0.00 0.00
[c] State Govt[s]	0	0	0	0	0	0	0.00 0.00
[d] Bodies Corporate	26576255	0	26576255	26621150	0	26621150	49.44 49.53 0.08
[e] Banks / FI	0	0	0	0	0	0	0.00 0.00
[f] Any Others[Specify]							
Sub Total[A][1]	28227895	0	28227895	28272790	0	28272790	52.52 52.60 0.08
2 Foreign							
[a] NRIs - Individuals	0	0	0	0	0	0	0.00 0.00
[b] Other Individuals	0	0	0	0	0	0	0.00 0.00
[c] Bodies Corporate	0	0	0	0	0	0	0.00 0.00
[d] Banks / FI	0	0	0	0	0	0	0.00 0.00
[e] Any Others[Specify]	0	0	0	0	0	0	0.00 0.00
Sub Total[A][2]	0	0	0	0	0	0	0.00 0.00
Total Shareholding of Promoter [A]= [A][1]+[A][2]	28227895	0	28227895	28272790	0	28272790	52.52 52.60 0.08
[B] Public shareholding							
1 Institutions							
[a] Mutual Funds	0	0	0	0	0	0	0.00 0.00
[b] Banks / FI	0	0	0	0	0	0	0.00 0.00
[c] Alternate Investment Funds	1122247	0	1122247	1649100	0	1649100	2.09 3.07 0.98
[d] State Govt[s]	0	0	0	0	0	0	0.00 0.00
[e] Venture Capital Funds	0	0	0	0	0	0	0.00 0.00
[f] Insurance Companies	0	0	0	0	0	0	0.00 0.00

Cat- egory code	Category of Shareholder	No. of shares held at the beginning of the year				No. of shares held at the end of the year				% change during the year
		Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
[g]	FIIIs	0	0	0	0.00	0	0	0	0.00	0.00
[h]	Foreign Venture Capital Funds	0	0	0	0.00	0	0	0	0.00	0.00
[i]	Any Other [specify]									
	Sub-Total [B][1]	1122247	0	1122247	2.09	1649100	0	1649100	3.07	0.98
2	Non-institutions									
[a]	Bodies Corporate									
[i]	Indian	3512415	86000	3598415	6.69	3919958	83000	4002958	7.45	0.75
[ii]	Overseas	0	0	0	0.00	0	0	0	0.00	0.00
[b]	Individuals									
[i]	Individual shareholders holding nominal share capital up to ₹ 1 lakh	13238070	911800	14149870	26.32	12587695	791800	13379495	24.89	-1.43
[ii]	Individual shareholders holding nominal share capital in excess of ₹ 1 lakh.	2695266	0	2695266	5.01	2664145	150000	2814145	5.24	0.22
[c]	Others [specify]									
[i]	Clearing Members	407963	0	407963	0.76	416889	0	416889	0.78	0.02
[ii]	HUF	1477022	0	1477022	2.75	1358942	0	1358942	2.53	-0.22
[iii]	NRI / OCBs	1247872	190000	1437872	2.68	1259541	40000	1299541	2.42	-0.26
[iv]	LLP/Partnership Firms	26732	0	26732	0.05	24635	0	24635	0.05	0.00
[v]	IEPF A/C	508418	0	508418	0.95	529631	0	529631	0.99	0.04
[vi]	NBFC	100000	0	100000	0.19	3574	0	3574	0.01	-0.18
	Sub-Total [B][2]	23213758	1187800	24401558	45.40	22765010	1064800	23829810	44.33	-1.06
[B]	Total Public Shareholding [B] = [B] [1]+[B][2]	24336005	1187800	25523805	47.48	24414110	1064800	25478910	47.40	-0.08
	TOTAL [A]+[B]	52563900	1187800	53751700	100.00	52686900	1064800	53751700	100.00	0.00
[C]	Shares held by Custodians for GDRs & ADRs	0	0	0	0.00	0	0	0	0.00	0.00
	GRAND TOTAL [A]+[B]+[C]	52563900	1187800	53751700	100.00	52686900	1064800	53751700	100.00	0.00

[ii] Shareholding of promoters

Sr. No.	Shareholder's Name	Shareholding at the Beginning of the year			Shareholding at the end of the year			% of change in shareholding during the year
		No. of shares	% of total shares of the company	% of shares pledged / encumbered to total shares	No. of shares	% of total shares of the company	% of shares pledged / encumbered to total shares	
1	Narendra Dejoo Shetty	763960	1.42	0.00	763960	1.42	0.00	0.00
2	Shakuntala Narendra Shetty	200	0.00	0.00	200	0.00	0.00	0.00
3	Tarun Narendra Shetty	278600	0.52	0.00	278600	0.52	0.00	0.00
4	Vinita Rohan Ajila	608880	1.13	0.00	608880	1.13	0.00	0.00
5	Haldyn Corporation Limited	26576255	49.44	0.00	26621150	49.53	0.00	0.09
Total		28227895	52.51	0.00	28272790	52.60	0.00	0.09

[iii] Change in Promoters' Shareholding [please specify, if there is no change]

Sr. No.	Name of the Promoter / Shareholder		Shareholding at the beginning of the year		Cumulative Shareholding during the year	
			No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1	Narendra Dejoo Shetty				No change / movement during the year	
	At the beginning of the year		763960	1.42		
	At the end of the year		763960	1.42		
2	Shakuntala Narendra Shetty					
	At the beginning of the year		200	Insignificant		
	At the end of the year		200	Insignificant		
3	Tarun Narendra Shetty					
	At the beginning of the year		278600	0.52		
	At the end of the year		278600	0.52		
4	Vinita Rohan Ajila					
	At the beginning of the year		608880	1.13		
	At the end of the year		608880	1.13		
5	Haldyn Corporation Limited					
	At the beginning of the year		26576255	49.44		
	12/31/2018	Transfer	10000	0.02	26586255	49.46
	04/01/2019	Transfer	20271	0.04	26606526	49.50
	18/01/2019	Transfer	14624	0.03	26621150	49.53
	At the end of the year		26621150	49.53		

[iv] Shareholding pattern of top ten Shareholders [other than Directors, Promoters and Holders of GDRs and ADRs]

Sr. No.	DEMAT NO.	PAN	Name of the shareholder		Shareholding at the beginning of the year		Cumulative Shareholding during the year	
			Date	Reason	No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1	IN30009511439306	AAACT2811M	Tarvin Trading And Investment Pvt. Ltd.					
			01/04/2018	Opening Bal.	1922160	3.58		
			31/03/2019	Closing Bal.			1922160	3.58
2	IN30179910084973	AAATU7719C	UNIFI AIF 2					
			01/04/2018	Opening Bal.	1122247	2.09		
			06/04/2018	Transfer	25000	0.05	1147247	2.13
			13/04/2018	Transfer	500	0.00	1147747	2.14
			20/04/2018	Transfer	23883	0.04	1171630	2.18
			27/04/2018	Transfer	36262	0.07	1207892	2.25
			04/05/2018	Transfer	58165	0.11	1266057	2.36
			11/05/2018	Transfer	53384	0.10	1319441	2.45
			18/05/2018	Transfer	68127	0.13	1387568	2.58
			25/05/2018	Transfer	36586	0.07	1424154	2.65
			10/08/2018	Transfer	49900	0.09	1474054	2.74
			17/08/2018	Transfer	31839	0.06	1505893	2.80
			24/08/2018	Transfer	28005	0.05	1533898	2.85
			31/08/2018	Transfer	8000	0.01	1541898	2.87
			01/03/2019	Transfer	3506	0.01	1545404	2.88
			15/03/2019	Transfer	8041	0.01	1553445	2.89
			22/03/2019	Transfer	14105	0.03	1567550	2.92
			30/03/2019	Transfer	81550	0.15	1649100	3.07
			31/03/2019	Closing Bal.			1649100	3.07
3	IN30180310032296	AAAHU2779N	Umesh Chimanlal Shah HUF					
			01/04/2018	Opening Bal.	835106	1.55		
			25/05/2018	Transfer	16313	0.03	851419	1.58
			31/03/2019	Closing Bal.			851419	1.58
4	IN30292710153286	AASPP1412F	Usha Netar Parkash					
			01/04/2018	Opening Bal.	613597	1.14		
			27/04/2018	Transfer	-12368	-0.02	601229	1.12
			18/05/2018	Transfer	-26307	-0.05	574922	1.07
			06/07/2018	Transfer	5500	0.01	580422	1.08
			13/07/2018	Transfer	23188	0.04	603610	1.12
			27/07/2018	Transfer	2115	0.00	605725	1.13
			10/08/2018	Transfer	-2415	0.00	603310	1.12
			17/08/2018	Transfer	4915	0.01	608225	1.13
			24/08/2018	Transfer	3000	0.01	611225	1.14
			12/10/2018	Transfer	10085	0.02	621310	1.16
			18/01/2019	Transfer	800	0.00	622110	1.16

Sr. No.	DEMAT NO.	PAN	Name of the shareholder		Shareholding at the beginning of the year		Cumulative Shareholding during the year	
			Date	Reason	No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
			01/02/2019	Transfer	43248	0.08	665358	1.24
			08/02/2019	Transfer	2000	0.00	667358	1.24
			22/02/2019	Transfer	7596	0.01	674954	1.26
			30/03/2019	Transfer	-13500	-0.03	661454	1.23
			31/03/2019	Closing Bal.			661454	1.23
5	IN30292710198004	AASPP1411G	Nitin Netar Parkash					
			01/04/2018	Opening Bal.	513000	0.95		
			13/04/2018	Transfer	13000	0.02	526000	0.98
			31/03/2019	Closing Bal.			526000	0.98
6	IN30070810656671	EXEMPT CATEGORY	Investor Education and Protection Fund [IEPF]					
			01/04/2018	Opening Bal.	508418	0.95		
			23/11/2018	Transfer	19390	0.04	527808	0.98
			30/11/2018	Transfer	1823	0.00	529631	0.99
			31/03/2019	Closing Bal.			529631	0.99
7	IN30048410554449	AASPP3534G	Jayant Purushottam Pendsey					
			01/04/2018	Opening Bal.	384000	0.71		
			06/04/2018	Transfer	1200	0.00	385200	0.72
			13/04/2018	Transfer	-9000	-0.02	376200	0.70
			18/05/2018	Transfer	7800	0.01	384000	0.71
			25/05/2018	Transfer	3000	0.01	387000	0.72
			10/08/2018	Transfer	600	0.00	387600	0.72
			17/08/2018	Transfer	7200	0.01	394800	0.73
			31/08/2018	Transfer	-3728	-0.01	391072	0.73
			14/09/2018	Transfer	-17072	-0.03	374000	0.70
			21/09/2018	Transfer	-4700	-0.01	369300	0.69
			29/09/2018	Transfer	3600	0.01	372900	0.69
			05/10/2018	Transfer	2400	0.00	375300	0.70
			26/10/2018	Transfer	3000	0.01	378300	0.70
			02/11/2018	Transfer	1445	0.00	379745	0.71
			09/11/2018	Transfer	35	0.00	379780	0.71
			16/11/2018	Transfer	-4480	-0.01	375300	0.70
			07/12/2018	Transfer	4496	0.01	379796	0.71
			14/12/2018	Transfer	4500	0.01	384296	0.71
			31/12/2018	Transfer	4	0.00	384300	0.71
			04/01/2019	Transfer	-4500	-0.01	379800	0.71
			15/02/2019	Transfer	1867	0.00	381667	0.71
			01/03/2019	Transfer	-367	0.00	381300	0.71
			31/03/2019	Closing Bal.			381300	0.71

Sr. No.	DEMAT NO.	PAN	Name of the shareholder		Shareholding at the beginning of the year		Cumulative Shareholding during the year	
			Date	Reason	No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
8	IN30009510001550	AAPA1737C	Dr Sanjeev Arora					
			01/04/2018	Opening Bal.	350897	0.65		
			31/03/2019	Closing Bal.			350897	0.65
9	IN30290241210170	AADPJ8723G	Jayakumar C					
			01/04/2018	Opening Bal.	265437	0.49		
			31/03/2019	Closing Bal.			265437	0.49
10	1208100000002628	AAACH1161J	Haldyn Polymers Private Limited					
			01/04/2018	Opening Bal.	244500	0.45		
			31/03/2019	Closing Bal.			244500	0.45
11	IN30260310081760	AOZPS7000M	Inder Nath Sharma					
			01/04/2018	Opening Bal.	222731	0.41		
			31/03/2019	Closing Bal.			222731	0.41
12	IN30371911036495	AACCE0677N	Edelweiss Custodial Services Limited					
			01/04/2018	Opening Bal.	0	0.00		
			05/10/2018	Transfer	1500	0.00	1500	0.00
			12/10/2018	Transfer	-500	0.00	1000	0.00
			30/03/2019	Transfer	909582	1.69	910582	1.69
			31/03/2019	Closing Bal.			910582	1.69
13	IN30292710000046	AAACJ5977A	J M Financial Services Limited					
			01/04/2018	Opening Bal.	252769	0.47		
			06/04/2018	Transfer	13000	0.02	265769	0.49
			13/04/2018	Transfer	-13000	-0.02	252769	0.47
			04/05/2018	Transfer	10	0.00	252779	0.47
			11/05/2018	Transfer	1390	0.00	254169	0.47
			18/05/2018	Transfer	-900	0.00	253269	0.47
			01/06/2018	Transfer	-500	0.00	252769	0.47
			06/07/2018	Transfer	6000	0.01	258769	0.48
			10/08/2018	Transfer	2415	0.00	261184	0.49
			17/08/2018	Transfer	-3830	-0.01	257354	0.48
			24/08/2018	Transfer	-900	0.00	256454	0.48
			31/08/2018	Transfer	700	0.00	257154	0.48
			07/09/2018	Transfer	-800	0.00	256354	0.48
			21/09/2018	Transfer	5201	0.01	261555	0.49
			29/09/2018	Transfer	-5001	-0.01	256554	0.48
			05/10/2018	Transfer	-200	0.00	256354	0.48
			12/10/2018	Transfer	-3585	-0.01	252769	0.47
			16/11/2018	Transfer	400	0.00	253169	0.47
			23/11/2018	Transfer	-400	0.00	252769	0.47
			31/12/2018	Transfer	2500	0.00	255269	0.47

Sr. No.	DEMAT NO.	PAN	Name of the shareholder		Shareholding at the beginning of the year		Cumulative Shareholding during the year	
			Date	Reason	No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
			04/01/2019	Transfer	-2490	0.00	252779	0.47
			11/01/2019	Transfer	20810	0.04	273589	0.51
			18/01/2019	Transfer	7680	0.01	281269	0.52
			25/01/2019	Transfer	14000	0.03	295269	0.55
			01/02/2019	Transfer	-34894	-0.06	260375	0.48
			08/02/2019	Transfer	-10	0.00	260365	0.48
			15/02/2019	Transfer	100	0.00	260465	0.48
			22/02/2019	Transfer	-7396	-0.01	253069	0.47
			01/03/2019	Transfer	-300	0.00	252769	0.47
			30/03/2019	Transfer	14000	0.03	266769	0.50
			31/03/2019	Closing Bal.			266769	0.50

[v] Shareholding of Directors and Key Managerial Personnel

Sr. No.	Name of the Directors / KMP	Shareholding at the beginning of the year		Cumulative Shareholding during / end of the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1	Tarun Narendra Shetty	278600	0.52	278600	0.52
2	Ganesh Prasad Chaturvedi	0	0.00	2500	0.00
3	Anwar Abdulla Lambay	510	0.00	510	0.00

[vi] Indebtedness

Indebtedness of the Company including interest outstanding/accrued but not due for payment

[₹ In Lakhs]

Sr. No.	Particulars	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
a]	Indebtedness at the beginning of the financial year				
	i] Principal Amount	283.39	—	—	283.39
	ii] Interest due but not paid	—	—	—	—
	iii] Interest accrued but not due	—	—	—	—
	Total [i+ii+iii]	283.39	—	—	283.39
b]	Change in Indebtedness during the financial year				
	Addition	469.34	—	—	469.34
	Reduction	—	—	—	—
	Net Change	469.34	—	—	469.34

[₹ In Lakhs]

Sr. No.	Particulars	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
c]	Indebtedness at the end of the financial year				
i]	Principal Amount	752.73	—	—	752.73
ii]	Interest due but not paid	—	—	—	—
iii]	Interest accrued but not due	—	—	—	—
	Total [i+ii+iii]	752.73	—	—	752.73

[vii] Remuneration of Directors and Key Managerial Personnel

A. Remuneration to Managing Director, Whole-time Director and /or Manager

[₹ In Lakhs]

Sr. No.	Particulars of Remuneration	Mr. T. N. Shetty CEO & MD	Mr. N. D. Shetty Executive Chairman
	Gross Salary		
1	[a] Salary as per provisions contained in Section 17[1] of the Income tax Act, 1961	123.87	123.87
	[b] Value of perquisites under Section 17[2] Income Tax Act, 1961	8.17	9.06
	[c] Profits in lieu of salary under section 17[3] Income Tax Act, 1961	—	—
2	Stock Options	—	—
3	Sweat Equity	—	—
4	Commission	—	—
	- as % of profit	—	—
	- others, specify	—	—
5	Others, please specify		
	i. Deferred bonus [pertaining to the current financial year	—	—
	ii. Retirals	—	—
	Total [A]	132.04	132.93

B. Remuneration to other Directors

1. Independent Directors

[₹ In Lakhs]

Sr. No.	Particulars of Remuneration	Name of Director			
		Mr. F. S. Broacha	Mr. L. Rajagopalan	Mrs. K. J. Udeshi	Mr. Sikandar Talwar
1	Fee for attending Board/ Committee Meetings	1.65	2.40	2.40	1.10
2	Commission	—	—	—	—
3	- Others, please specify	—	—	—	—
	Total [B] [1]	1.65	2.40	2.40	1.10

2. Other Non-Executive Directors

[₹ In Lakhs]

Sr. No.	Particulars of Remuneration	Mr. Rolf E. von Bueren	Mr. R. Y. Ajila
1	Fee for attending Board/Committee Meetings	0.25	1.00
2	Commission	—	—
3	Others, please specify	—	—
	Total [B][2]	0.25	1.00

C. Remuneration to Key Managerial Personnel other than MD/MANAGR/WTD

[₹ In Lakhs]

Sr. No.	Particulars of Remuneration	Mr. G. P. Chaturvedi [CFO]	Mr. A. A. Lambay [CS]	Total amount
	Gross Salary			
1	[a] Salary as per provisions contained in Section 17[1] of the Income tax Act, 1961	44.01	9.84	53.85
	[b] Value of perquisites under Section 17[2] Income Tax Act, 1961	—	—	—
	[c] Profits in lieu of salary under section 17[3] Income Tax Act, 1961	—	—	—
2	Stock Options	—	—	—
3	Sweat Equity	—	—	—
4	Commission - as % of profit	—	—	—
5	Others, please specify	—	—	—
	Total [C]	44.01	9.84	53.85

[viii] Penalties / Punishment / Compounding of offences :

COMPANY/ DIRECTORS/ OTHER OFFICERS IN DEFAULT

No penalty or punishment has been imposed on the company or its directors and KMP" however, Show cause notice related to Section 211 [3A][3C] of Co Act, 1956 R/W. AS-26, Section 211 [3A][3C] of Co. Act, 1956 R/W. AS-19, Section 211 [3A][3C] of Co Act, 1956 R/W. AS-22, Section 209[1] of the Act, 1956, Section 211[1] R/W. Schedule VI of the Act, 1956] [As per Note 12 for the year 2015 are pending for compounding as separate application is being made to Regional Director [NWR] consequent to shifting of powers from NCLT [subject to approval of special court] to Regional Director, North Western Region, [MCA].